

McANDREWS, HELD & MALLOY, LTD.

Citicorp Center
34th Floor
500 West Madison Street
Chicago, Illinois 60661

Telephone: 312/775-8000
Facsimile: 312/775-8100

OFFICIAL
RECEIVED
CENTRAL FAX CENTER
AUG 09 2004

FACSIMILE COVERSHEET

TO: Examiner Nicholas D. Rosen
Fax: (703) 872-9306

FROM: Ronald E. Larson
Fax: 312/775-8100

DATE: August 9, 2004

Matter: Our File No.: 0536-12672US01

No. pages sent
including cover page: 12 – Second Amendment After Final Under 37 CFR § 1.116

MESSAGE:

Original documents will: X Not be sent
 Follow by regular mail
 Follow by Federal Express

If you do not receive entire transmission, please contact Jan Lindsey at 312/775-8018.

The documents accompanying this facsimile transmittal coversheet contain information from the law firm of McAndrews, Held & Malloy, Ltd., which may be confidential and/or legally privileged. The documents are intended only for the personal and confidential use of the addressee identified above. If you are not the intended recipient or an agent responsible for delivering these documents to the intended recipient, you are hereby notified that any review, disclosure, copying, distribution or the taking of any action in reliance on the contents of this transmitted information is strictly prohibited. If you have received this facsimile in error, please immediately notify the Firm so that we can arrange for the return of the original documents to use. Thank you.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Case No. 12672US01

OFFICIAL
PATENT
RECEIVED
CENTRAL FAX CENTER
AUG 09 2004

In the Application of:)
ROBERT CAREY)
Serial No.: 09/639,478)
Filed: August 15, 2000)
For: TECHNIQUES OF SELECTING)
SECURITIES FOR A PORTFOLIO)
USING BUYBACK RATIO AND)
DIVIDEND YIELD)
Examiner: Nicholas D. Rosen)
Group Art Unit: 3625)
Confirmation No.: 9992)

CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that this correspondence is being facsimile transmitted to the Patent and Trademark Office (FAX No. 703-872-9306) on August 9, 2004.


Lawrence M. Jarvis, Esq., Reg. No. 27,341

SECOND AMENDMENT AFTER FINAL UNDER 37 CFR § 1.116

Commissioner for Patents
Mail Stop After Final
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action dated June 10, 2004, kindly amend the above-identified application as follows. This amendment is being filed within two months of the office action mailing date pursuant to 37 CFR 1.136(a).